

April 4, 2014

VIA HAND DELIVERY

Arkansas Judicial Discipline & Disability Commission Tower Building – Suite # 1060 323 Center Street Little Rock, AR 72201

RE:

Complaint against Judge Mike Maggio; Case No. 14-251

Dear Commission:

On March 13, 2014, my clients submitted a complaint to the Commission concerning Judge Mike Maggio. Five days later my clients submitted a complaint with the Arkansas Ethics Commission regarding various political action committees, their registered agent, their contributors, and their primary beneficiary, Judge Mike Maggio. Specifically, the complaint to the Ethics Commission stated:

It appears from the Democrat-Gazette article that Mr. Morton and his companies were the sole donors to six of the seven PACs and that Judge Maggio was the primary recipient of funds from the seven PACs. The article goes on to say that campaigns for judicial races cannot start soliciting money for candidates more than six months before an election. If what Mr. Morton says in the article is in fact true, it appears that judicial campaign money was being solicited long before six months prior to the election and that the money was put in PACs per the request of representatives of Judge Maggio's campaign.

By letter dated March 27, 2014, a copy of which is attached, the Director of the Ethics Commission, Graham F. Sloan, informed me that Rule 4.4(B)(2) of the Arkansas Judicial Code of Conduct provides that a judicial candidates shall direct his or her campaign committee "not to solicit or accept contributions . . . more than 180 days before the applicable election". Director Sloan indicated that this "particular provision falls under the jurisdiction of the Arkansas Judicial Discipline and Disability Commission instead of the Arkansas Ethics Commission." Accordingly, my clients ask that the

Arkansas Judicial Discipline and Disability Commission investigate any improper solicitation and/or acceptance of judicial campaign contributions by Judge Maggio and/or his campaign committee.

In addition, Judge Maggio's Statement of Financial Interest covering calendar year 2013, which was filed this year with the Arkansas Secretary of State, lists two investments or holdings valued at more than \$12,500 each. There are no such investments or holdings disclosed in the Statements of Financial Interest covering calendar years 2012, 2011, or 2010. Enclosed herewith are the Statements of Financial Interest covering calendar years 2010-2013, which are publicly available on the website of the Arkansas Secretary of State. My clients respectfully ask the Arkansas Judicial Discipline & Disability Commission to investigate the source of the two investments or holdings referenced, along with any improper solicitation and/or acceptance of money, mutual funds, annuities, sock, investments, holdings or gifts by Judge Maggio.

Please do not hesitate to contact me if you need any additional information or if I can be of assistance.

Sincerely,

LAW OFFICE OF THOMAS G. BUCHANAN

Thomas G. Buchanan

TGB: clm Encl.

ARKANSAS ETHICS COMMISSION

William C. Bird III

Anna Bray

Robert McCormack Sharon K, Trusty Sybil Jordan Hampton 910 West Second Street, Suite 100 Post Office Box 1917 Little Rock, Arkansas 72203-1917 (501) 324-9600 Fax (501) 324-9606 Toll Free (800) 422-7773



Graham F. Sloan

Todd Elder

Jill Rogers Barham

Teresa Keathley Orester of Computation

Drew Blankenship Director of Compliance

March 27, 2014

Mr. Thomas G. Buchanan Attorney at Law 217 West 2nd Street Suite 115 Little Rock, Arkansas 72201



Re: Case No. 2014-CO-015

Dear Mr. Buchanan:

This letter serves to confirm receipt of your citizen complaint against Mike Maggio. That complaint was brought against Judge Maggio in his capacity as a candidate for the Arkansas Court of Appeals during the 2014 election cycle.

It has been determined that said complaint meets the requirements set forth in subparagraph A(3) of Section V of the Ethics Commission's Rules of Practice and Procedure. Accordingly, an investigation is being commenced.

Briefly restated, the essential allegations of your complaint are as follows:

- In connection with the 2014 election cycle, an article published by the Arkansas Democrat Gazette reported that 'campaign contributions totaling \$21,000.00 were made on July 8, 2013, to seven political action committees by Michael Morton and businesses he owns'.
- The aforementioned news article reported that, [i]n December of 2013
 and January of 2014, these same seven PAC's all made contributions
 to Judge Mike Maggio's Court of Appeals [c]ampaign'; however,
 'none of the PAC's had been registered with the [S]tate of Arkansas at
 the time of the contributions'.

The Ethics Commission's investigation will focus on whether or not, in connection with Judge Maggio's campaign for the Arkansas Court of Appeals during the 2014 election cycle, he violated (i) Ark. Code Ann. § 7-6-203(a) by accepting campaign contributions in excess of two thousand dollars (\$2,000) per election from any person, and/or (ii) Ark. Code Ann. § 7-6-203(e) by accepting a campaign contribution from a prohibited political action committee.

The first statute, Ark. Code Ann. § 7-6-203(a), provides, in pertinent part, that "[i]t shall be unlawful for any candidate for any public office...or for any person acting on the candidate's behalf to accept campaign contributions in excess of two thousand dollars (\$2,000) per election from any person."

The second statute, Ark. Code Ann. § 7-6-203(e), provides, in pertinent part, that "[i]t shall be unlawful for any candidate for any public office or any person acting in the candidate's behalf to accept any contribution from a prohibited political action committee for any election."

It is noted that the term "prohibited political action committee" is defined in Ark. Code Ann. § 7-6-201(15), in pertinent part, as follows:

- (A) 'Prohibited political action committee' means any person that receives contributions from one (1) or more persons in order to make contributions to candidates...but that does not meet the requirements of an approved political action committee.
- (B) 'Prohibited political action committee' shall not include: (i) A political party that meets the definition of a political party under § 7-1-101 or a political party that meets the requirements of § 7-7-205; (ii) The candidate's own campaign committee; (iii) A county political party committee; (iv) An exploratory committee; or (v) A ballot or legislative question committee[.]

In addition, the term "approved political action committee" is defined in Ark. Code Ann. § 7-6-201(1), in pertinent part, as follows:

(A) 'Approved political action committee' means any person that: (i) Receives contributions from one (1) or more persons in order to make contributions to candidates.... (ii) Does not accept any contribution or cumulative contributions in excess of five thousand dollars (\$5,000) from any person in any calendar year; and (iii) Registers pursuant to § 7-6-215 prior to making contributions.

(B) 'Approved political action committee' does not include an organized political party as defined in § 7-1-101, a county political party committee, the candidate's own campaign committee, an exploratory committee, or a ballot question committee or legislative question committee as defined in § 7-9-402[.]

It is noted that the complaint also alleges that Judge Maggio's campaign solicited campaign contributions more than six (6) months before the 2014 nonpartisan general election. It appears that particular allegation relates to Rule 4.4(B)(2) of the Arkansas Code of Judicial Conduct which provides that a judicial candidate shall direct his or her campaign committee "not to solicit or accept contributions...more than 180 days before the applicable election". That particular provision falls under the jurisdiction of the Arkansas Judicial Discipline and Disability Commission instead of the Arkansas Ethics Commission. Accordingly, it will not be made a part of the investigation in these proceedings.

In accordance with Section VI(3) of the Ethics Commission's Rules of Practice and Procedure, I am requesting that you submit any and all evidence which you may have concerning the allegations set forth on page 1 of this letter.

If you should have any questions or comments, please do not hesitate to contact us.

Sincerely.

Graham F. Sloan

Director

STATEMENT OF FINANCIAL INTEREST

State/District officials file with: Mark Martin, Secretary of State State Capitol, Room 026 Little Rock, AR 72201 Phone (501) 682-5070 Fax (501) 682-3548

Calendar year covered 2010

(Note: Filing covers the previous calendar year)

For assistance in completing this form contact: Arkansas Ethics Commission Post Office Box 1917 Little Rock, AR 72203 Phone (501) 324-9600 Toll Free (800) 422-7773

Please provide complete information. If the information requested in a particular section does not apply to you, indicate such by noting "Not Applicable" in that section. Do not leave any part of this form blank. If additional space is needed, you may attach the information to this document.

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☐ Civil Service commission _

SECTION 4- BUSINESS OR HOLDINGS

List the name of every business in which you, your spouse or any other person for the use or benefit of you or your spouse have an investment or holding. Individual stock holdings should be disclosed. Figures should be based on fair market value at the end of the reporting period.

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SECTION 5- OFFICE OR DIRECTORSHIP

List every office or directorship held by you or your spouse in any business, corporation, firm, or enterprise subject to jurisdiction of a regulatory agency of this State, or of any of its political subdivisions.

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SECTION 8- GIFTS

List the source, date, description, and a reasonable estimate of the fair market value of each gift of more than \$100 received by you or your spouse and of each gift of more than \$250 received by your dependent children. The term "gift" is defined as "any payment, entertainment, advance, services, or anything of value unless consideration of equal or greater value has been given therefor." There are a number of exceptions to the definition of "gift." Those exceptions are set forth in the Instructions for Statement of Financial Interest prepared for use with this form. (Note: The value of an item shall be considered to be less than \$100 if the public servant reimburses the person from whom the item was received any amount over \$100 and the reimbursement occurs within ten (10) days from the date the item was received.)

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SECTION 9- AWARDS

If you are an employee of a public school district, the Arkansas School for the Blind, the Arkansas School for the Deaf, the Arkansas School for Mathematics, Sciences, and the Arts, a university, a college, a technical college, a technical institute, a comprehensive life-long learning center, or a community college, the law requires you to disclose each monetary or other award over one hundred dollars (\$100) which you have received in recognition of your contributions to education. The information disclosed with respect to each such award should include the source, date, description, and a reasonable estimate of the fair market value.

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The law provides for a maximum penalty of \$2,000 per violation and/or imprisonment for not more than one year for any person who knowingly or willfully fails to comply with the provisions of A.C.A.§ 21-8-401 through § 21-8-804. This report constitutes a public record. This form has been approved by the Arkansas Ethics Commission.

(compensation paid)

SECTION 13- SIGNATURE

I certify under penalty of false swearing that the above information is true and correct.

Signature

STATE OF ARKANSAS

Subscribed and sworn before me this

day of

OFFICIAL SEAL
SUSAN D. McGehee
(Leginothampledic-Arkansas
PERRY COUNTY
MY COMMISSION EXPIRES: 08-23-14

Notary Public

My commission expires:

Note: If faxed, notary seal must be legible (i.e., either stamped or raised and inked) and the original must follow within ten (10) days pursuant to Ark. Code Ann. § 21-8-703(b)(3).

IMPORTANT

Where to file:

State or district candidates/public servants file with the Secretary of State.

County, township, and school district candidates/public servants file with the county clerk.

Municipal candidates/public servants file with the city clerk or recorder, as the case may be.

Municipal judges and city attorneys file with the city clerk of the municipality in which they serve.

Members of regional boards or commissions file with the county clerk of the county in which they reside.

General Information:

- * The Statement of Financial Interest should be filed by January 31 of each year.
- The filing covers the previous calendar year;
- * Candidates for elective office shall file the Statement of Financial Interest for the previous calendar year on the first Monday following the close of the period to file as a candidate for elective office unless already filed by January 31.
- * Agency heads, department directors, and division directors of state government shall file the Statement of Financial Interest within thirty (30) days of appointment or employment unless already filed by January 31.
- Appointees to state boards or commissions shall file the Statement of Financial Interest within thirty (30) days after appointment unless already filed by January 31.
- * If a person is included in any category listed above for any part of a calendar year, that person shall file a Statement of Financial Interest covering that period of time regardless of whether they have left their office or position as of the date the statement is due.

STATEMENT OF FINANCIAL INTEREST

State/District officials file with: Mark Martin, Sccretary of State State Capitol, Room 026 Little Rock, AR 72201 Phone (501) 682-5070 Fax (501) 682-3548

☐ Utility board or commission _

Calendar year covered 2011
(Note: Filing covers the previous calendar year)

this form contact: Arkansas Ethics Commission Post Office Box 1917 Little Rock, AR 72203 Phone (501) 324-9600 Toll Free (800) 422-7773

For assistance in completing

Is this an amendment? 🗆 Yes 🖼 No

Please provide complete information. If the information requested in a particular section does not apply to you, indicate such by noting "Not Applicable" in that section. Do not leave any part of this form blank. If additional space is needed, you may attach the information to this document.

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☐ Civil Service commission _

SECTION 3- SOURCE OF INCOME

SECTION 4- BUSINESS OR HOLDINGS

List the name of every business in which you, your spouse or any other person for the use or benefit of you or your spouse have an investment or holding. Individual stock holdings should be disclosed. Figures should be based on fair market value at the end of the reporting period.

Check appropriate box:	☐ More than \$1,000	☐ More than \$12,500		
	(name of corporation,	firm or enterprise)		
	(addre	ss)		
	(name under which i	nvestment held)		
Check appropriate box:	☐ More than \$1,000	☐ More than \$12,500		
	(name of corporation,	firm or enterprise)		
3=	(addre	ss)		
	(name under which i	nvestment held)		
Check appropriate box:	☐ More than \$1,000	☐ More than \$12,500		
	(name of corporation,	firm or enterprise)		
	(addre	ss)		
	(name under which	investment held)		
Check appropriate box:	☐ More than \$1,000	☐ More than \$12,500		
	(name of corporation,	firm or enterprise)		
-	(addre	ss)		
	(name under which	investment held)		
Check appropriate box:	☐ More than \$1,000	☐ More than \$12,500		
(name of corporation, firm or enterprise)				
	(addre	ss)		
	(name under which	investment held)		
Check appropriate box:	☐ More than \$1,000	☐ More than \$12,500		
	(name of corporation,	firm or enterprise)		
AUG.	(addre	ss)		
	(name under which	investment held)		

SECTION 5- OFFICE OR DIRECTORSHIP

List every office or directorship held by you or your spouse in any business, corporation, firm, or enterprise subject to jurisdiction of a regulatory agency of this State, or of any of its political subdivisions.

a)	
- S	(name of business, corporation, firm, or enterprise)
	(address)
	(office or directorship held)
3	(name of office holder)
b)	(name of business, corporation, firm, or enterprise)
	(address)
	(office or directorship held)
1	(name of office holder)
ECT	TION 6- CREDITORS
a) _	(name of creditor)
-	(address of creditor)
b) _	(name of creditor)
7	(address of creditor)
c)_ -	(name of creditor)
· · ·	(address of creditor)
EC	TION 7- GUARANTOR OR CO-MAKER
ist c	ach guarantor or co-maker who has guaranteed a debt of yours that is still outstanding. (This includes debt guarantors arising ded and refinanced after Jan. 1, 1989. Members of your family who are your guarantors are not required to be disclosed.)
a)	(name)
rese	(address)
b) _	
	(name)
	(address)

SECTION 8- GIFTS

List the source, date, description, and a reasonable estimate of the fair market value of each gift of more than \$100 received by you or your spouse and of each gift of more than \$250 received by your dependent children. The term "gift" is defined as "any payment, entertainment, advance, services, or anything of value unless consideration of equal or greater value has been given therefor." There are a number of exceptions to the definition of "gift." Those exceptions are set forth in the Instructions for Statement of Financial Interest prepared for use with this form. (Note: The value of an item shall be considered to be less than \$100 if the public servant reimburses the person from whom the item was received any amount over \$100 and the reimbursement occurs within ten (10) days from the date the item was received.)

		(description of gift)	
	(date)	(fair market value)	
-		(source of gift)	
		(description of gift)	
1	· · · · · · · · · · · · · · · · · · ·	(fäir market value)	
8		(source of gift)	
		(description of gift)	
<u>V</u>	(date)	(fair market value)	
		(source of gift)	
		(description of gift)	
=/	(date)	(fair market value)	
		(source of gift)	
	10.	(description of gift)	
1341-35	(date)	(fair market value)	
		(source of gift)	X
		(description of gift)	
	(date)	(fair market value)	
		(source of gift)	
		(description of gift)	=====
	(date)	(fair market value)	
		(source of gift)	

SECTION 9- AWARDS

If you are an employee of a public school district, the Arkansas School for the Blind, the Arkansas School for the Deaf, the Arkansas School for Mathematics, Sciences, and the Arts, a university, a college, a technical college, a technical institute, a comprehensive lifelong learning center, or a community college, the law requires you to disclose each monetary or other award over one hundred dollars (\$100) which you have received in recognition of your contributions to education. The information disclosed with respect to each such award should include the source, date, description, and a reasonable estimate of the fair market value.

a)		
		(description of award)
	(date)	(fair market value)
		(source of award)
))		(description of award)
	*\	(description of award)
	(date)	(fair market value)
		(source of award)
;)		(description of award)
	(date)	(fair market value)
-	13000000	(source of award)
i)		
		(description of award)
	(date)	(fair market value)
		(source of award)
	(4)	
SECTION 10	- NONGOVERNMENTAL SOU	RCES OF PAYMENT
when you app	ear in your official capacity when t	your expenses for food, lodging, or travel which bears a relationship to your office the expenses incurred exceed \$150.
A re ========	(nar	ne of person or organization paying expense)
		(business address)
	(date of expense)	\$ (amount of expense)
	*	(nature of expenditure)
b)	(nar	ne of person or organization paying expense)
	1 1	(address)
-	(date of expense)	\$ (amount of expense)

SECTION 11- DIRECT REGULATION OF BUSINESS

List any business which employs you and is under direct regulation or subject to direct control by the governmental body which you serve. (name of business) (governmental body which regulates or controls) (name of business) (governmental body which regulates or controls) (name of business) (governmental body which regulates or controls) (name of business) (governmental body which regulates or controls) SECTION 12- SALES TO GOVERNMENTAL BODY List the goods or services sold to the governmental body for which you serve which have a total annual value in excess of \$1,000. List the compensation paid for each category of goods or services sold by you or any business in which you or your spouse is an officer, director, or stockholder owning more than 10% of the stock of the company. (goods or services) (governmental body to whom sold) (compensation paid) (goods or services) (governmental body to whom sold) (compensation paid) (goods or services) (governmental body to whom sold) (compensation paid) (goods or services) (governmental body to whom sold)

The law provides for a maximum penalty of \$2,000 per violation and/or imprisonment for not more than one year for any person who knowingly or willfully fails to comply with the provisions of A.C.A.§ 21-8-401 through § 21-8-804. This report constitutes a public record. This form has been approved by the Arkansas Ethics Commission.

(compensation paid)

SECTION 13- SIGNATURE

I certify under penalty of false swearing that the above information is true and correct.

Signature Signature

STATE OF ARKANSAS

COUNTY OF

Subscribed and sworn before me this

_____ day of _

Notary Public

OFFICIAL SEAL
Susan () McGehee
Legible Harry Public ARKANSAS
PERRY COUNTY

MY COMMISSION EXPIRES: 08-23-14

My commission expires:

Note: If faxed, notary seal must be legible (i.e., either stamped or raised and inked) and the original must follow within ten (10) days pursuant to Ark, Code Ann. § 21-8-703(b)(3).

IMPORTANT

Where to file:

State or district candidates/public servants file with the Secretary of State.

County, township, and school district candidates/public servants file with the county clerk.

Municipal candidates/public servants file with the city clerk or recorder, as the case may be.

Municipal judges and city attorneys file with the city clerk of the municipality in which they serve.

Members of regional boards or commissions file with the county clerk of the county in which they reside.

General Information:

- The Statement of Financial Interest should be filed by January 31 of each year.
- * The filing-covers the previous calendar year.
- Candidates for elective office shall file the Statement of Financial Interest for the previous calendar year on the first Monday following the close of the period to file as a candidate for elective office unless already filed by January 31.
- Agency heads, department directors, and division directors of state government shall file the Statement of Financial Interest within thirty (30) days of appointment or employment unless already filed by January 31.
- Appointees to state boards or commissions shall file the Statement of Financial Interest within thirty (30) days after appointment unless already filed by January 31.
- * If a person is included in any category listed above for any part of a calendar year, that person shall file a Statement of Financial Interest covering that period of time regardless of whether they have left their office or position as of the date the statement is due.

STATEMENT OF FINANCIAL INTEREST

State/District officials file with: Mark Martin, Secretary of State State Capitol, Room 026 Little Rock, AR 72201 Phone (501) 682-5070 Fax (501) 682-3548

01/23/2013 14:08

Calendar year covered 2012
(Note: Filing covers the previous calendar year)

For assistance in completing this form contact: Arkansas Ethics Commission Post Office Box 1917 Little Rock, AR 72203 Phone (501) 324-9600 Toll Free (800) 422-7773

Is this an amendment? D Yes B No

Please provide complete information. If the information requested in a particular section does not apply to you, indicate such by noting "Not Applicable" in that section. Do not leave any part of this form blank. If additional space is needed, you may attach the information to this document.

SECTION 1-	name and address	nav s i	ar.	Δ
Vame	MAGG10	Michae	<u> </u>	(Middle)
	ROL LOCUSE	(First) Conwae	HD	72034
Address	(Street or P.O. Box Number)	(City)	(State)	(Zip Code)
Thoma C	01 450 4904		3 * 090 - \$240 PG1	
				·
Spouse's nam	(Last)	(First)		(Middle)
All names 117	der which you and/or your spouse do b	usiness:		
All Hames an	Mary Manager your manager year	296600000000000000000000000000000000000		
TON 1	- REASON FOR FILING			
Article Control of the Control of th				
Pub	lic Official CIRCO T J.	189e	PEOL	* ***
		(OTHER TENE)	RECEI	VED
Can	didate	(office sought)	JAN 23 2	2012
	trict Judge	A10000 750 A	A-1	2013
727 SNS/Y		(name of municipality)	Secretary of	9
☐ City	y Attorney			otate
A PERSONAL		(name of city)		
Star	te Government: Agency Head/Departme	ent Director/Division Director	(name of agency/d	lepartment/division)
	ief of Staff or Chief Deputy			
Cni	let of Stan of Chief Deputy	(name of Constitutional Officer, Sens	te, or House of Repres	entatives)
☐ Pul	olic appointee to State Board or Commi	ission	(testee)	
2000		(name or board	commission)	
Sch	nool Board member	(name of school district)		
	ndidate for school board			
☐ Ca	ndidate for school board	(name of school district)		V
☐ Pui	blic or Charter School Superintendent			
		(name of school district school)		
	ecutive Director of Education Service (than	e of cooperative)	
	pointee to one of the following municip	nal county or regional boards or co	mmissions (list name	of board or commiss
☐ Ar	pointee to one of the following mumical Planning board or commission	hai' and in the same and in the		7 7 1
	Airport board or commission			<u> </u>
			1== //	71 >7
	Water or Sewer board or commission		11 //	7/ // //
	Utility board or commission			- Cal
П	Civil Service commission			

SECTION 5- OFFICE OR DIRECTORSHIP

SECTION 5- O	FFICE OR DIRECTORSHIP
ist every office egulatory agenc	or directorship held by you or your spouse in any business, corporation, firm, or enterprise subject to jurisdiction of a cy of this State, or of any of its political subdivisions.
a)	(name of business, corporation, firm, or enterprise)
	(address)
	(office or directorship held)
-	(name of office holder)
ъ)	
0)	(name of business, corporation, firm, or enterprise)
	(address)
	(office or directorship held)
_	(name of office holder)
a)	(name of creditor)
	(address of creditor)
b)	(name of creditor)
	(address of creditor)
c)	(name of creditor)
SECTION 7-	(address of creditor)
List each guare extended and r	GUARANTOR OR CO-MAKER
List each guare extended and r	GUARANTOR OR CO-MAKER
extended and r	GUARANTOR OR CO-MAKER antor or co-maker who has guaranteed a debt of yours that is still outstanding. (This includes debt guarantors arising or refinanced after Jan. 1, 1989. Members of your family who are your guarantors are not required to be disclosed.)
extended and r	GUARANTOR OR CO-MAKER antor or co-maker who has guaranteed a debt of yours that is still outstanding. (This includes debt guarantors arising or refinanced after Jan. 1, 1989. Members of your family who are your guarantors are not required to be disclosed.) (name)

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Revised 08/09

SECTION 9- AWARDS

If you are an employee of a public school district, the Arkansas School for the Blind, the Arkansas School for the Deaf, the Arkansas School for Mathematics, Sciences, and the Arts, a university, a college, a technical college, a technical institute, a comprehensive lifelong learning center, or a community college, the law requires you to disclose each monetary or other award over one hundred dollars (\$100) which you have received in recognition of your contributions to education. The information disclosed with respect to each such award should include the source, date, description, and a reasonable estimate of the fair market value.

		(description of award)	
_	(date)	(fair market value)
		(source of award)	
		(description of award)	
-	(date)		(fair market value)
		(source of award)	
		(description of award)	
	(date)		(fair market value)
	180000000	(source of award)	
		(description of award)	
-	(date)		(fair market value)
		(source of award)	
	10- NONGOVERNMENTAL S ingovernmental source of payment opear in your official capacity wh	nt of your expenses for food, lodging, or trav- nen the expenses incurred exceed \$150.	
		(name of person or organization paying expense)
		(business address)	\$(amount of expense)
	(date of expense)		(2010-2010-2010)
		(nature of expenditure)	
)		(name of person or organization paying expense	e)
		(address)	\$(amount of expense)
	(date of expense)		(mirowing or cole and
		(nature of expenditure)	

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Commission.

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------------------------	----------------------

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(compensation paid)

SECTION 13- SIGNATURE

I certify under penalty of false swearing that the above information is true and correct.

STATE OF ARKANSAS

COUNTY OF FAUIRA EX

Subscribed and sworn before me this

Notary Public

OFFICIAL SEAL McGenee PERRY COUNTY COMMISSION EXPIRES: 08-23-14

My commission expires:

Note: If faxed, notary seal must be legible (i.e., either stamped or raised and inked) and the original must follow within ten (10) days pursuant to Ark. Code Ann. § 21-8-703(b)(3).

IMPORTANT

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STATEMENT OF FINANCIAL INTEREST

State/District officials file with: Mark Martin, Secretary of State State Capitol, Room 026 Little Rock, AR 72201 Phone (501) 682-5070 Fax (501) 682-3548 Calendar year covered 2013
(Note: Filing covers the previous calendar year)

For assistance in completing this form contact: Arkansas Ethics Commission Phone (501) 324-9600 Toll Free (800) 422-7773

Is this an amendment? Yes No

Please provide complete information. If the information requested in a particular section does not apply to you, indicate such by noting "Not Applicable" in that section. Do not leave any part of this form blank. If additional space is needed, you may attach the information to this document.

SECT	TON 1- NAME AND ADDRESS	2	4
Name	MAGGIO	Michael	A .
Addre	ess SOL Local		AR (Middle) 72034 (Zip Code)
Phone	(Street or P.O. Box Number)		ate) (Zip Code)
Spous	e's name	\$ A	
	e's name(Last) mes under which you and/or your spouse do bu		(Middle)
SECT	TION 2- REASON FOR FILING		D
g	Public Official CIRCUIT	- Judge	FILED
	Candidate	(office held)	JAN 21 2014
	District Judge	(office sought)	Arkansas
	EX STREET STREET THE PROBLEM AND THE VALUE	(name of municipality)	Secretary of State
	City Attorney	(name of city)	iiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiii
	State Government: Agency Head/Department	nt Director/Division Director	
	Chief of Staff or Chief Deputy	(1	ame of agency/department/division)
	Public appointee to State Board or Commiss		
	School Board member	(name of board/comm	ission)
	MARKETER TIL KRITTI LET PERSONNET EL ETFONTORION PETENSE CARTES	(name of school district)	*
ب	Candidate for school board	(name of school district)	
	Public or Charter School Superintendent		
	Executive Director of Education Service Co	(name of school district/school)	
	Advertising and Promotion Commission me	(name of coo	perative)
		(name of advertising a	nd promotion commission)
	Research Park Authority Board member und		(name of research park authority board)

SECTION 2- REASON FOR FILING (continued) Appointee to one of the following municipal, county or regional boards or commissions (list name of board or commission): ☐ Planning board or commission _____ ☐ Airport board or commission ____ ☐ Water or Sewer board or commission _____ ☐ Utility board or commission ☐ Civil Service commission ____ SECTION 3- SOURCE OF INCOME List each employer and/or each other source of income from which you, your spouse, or any other person for the use or benefit of you or your spouse receives gross income amounting to more than \$1,000. (You are not required to disclose the individual items of income that constitute a portion of the gross income of the business or profession from which you or you spouse derives income. For example: accountants, attorneys, farmers, contractors, etc. do not have to list their individual clients.) If you receive gross income exceeding \$1,000 from at least one source, the answer N/A is not correct. More than \$12,500 a) Check appropriate box: ☐ More than \$1,000 (name of employer or source of income) (address) 03911 (name under which income received) Provide a brief description of the nature of the services for which the compensation was received ☐ More than \$1,000 ☐ More than \$12,500 b) Check appropriate box: (name of employer or source of income) (address) (name under which income received) Provide a brief description of the nature of the services for which the compensation was received ☐ More than \$1,000 More than \$12,500 c) Check appropriate box: (name of employer or source of income) (address) (name under which income received)

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Provide a brief description of the nature of the services for which the compensation was received

SECTION 4- BUSINESS OR HOLDINGS

List the name of every business in which you, your spouse or any other person for the use or benefit of you or your spouse have an investment or holding. Individual stock holdings should be disclosed. Figures should be based on fair market value at the end of the reporting period.

More than \$1,000	More than \$12,500
(name of corneration	firm or enterprise)
	ess)
Michae (A Maso	310
(name under which	investment held)
Maint-104 000 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5	
More than \$1,000	More than \$12,500
HUNTENNY TNS	ANNUTY
(name of corporation,	firm or enterprise)'
CONWAU AR 8	
, (addr	ess)
Michael A	U09910
(name under which	investment held)
Part Trept on Start Votosio	☐ Mar-than \$12,500
☐ More than \$1,000	☐ More than \$12,500
	7
(name of corporation	, tirm or enterprise)
7.44	enes)
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(Hame under wine)	A MAN K TOTAL CONTROL OF A TOTAL CONTROL CONTR
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(name of corporation	, firm or enterprise)
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Camara manage trans	
More than \$1,000	☐ More than \$12,500
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(name of corporation	on, firm or enterprise)
(ad	dress)
18000	
(name under whi	ch investment held)
	(name of corporation, Conway And Michael A Mage (name under which More than \$1,000 HARTOUND TANS (name of corporation, Conway And S. (addr Michael A Mage (addr Michael A Mage (addr More than \$1,000 (name under which More than \$1,000 (name of corporation (addr (name under which More than \$1,000 (name of corporation (addr (name under which More than \$1,000 (name of corporation (addr (name under which More than \$1,000

SECTION 8- GUARANTOR OR CO-MAKER

extende	ed and	d refinanced after Jan. 1, 1989. Members of your family who are your guarantors are not required to be disclosed.)
a)	3	
10.7		(name)

List each guarantor or co-maker who has guaranteed a debt of yours that is still outstanding. (This includes debt guarantors arising or

,	(nan	ne)	
	· (addr	ess)	
	(nar	ie)	
	, (addr	ess)	

SECTION 9- GIFTS

List the source, date, description, and a reasonable estimate of the fair market value of each gift of more than \$100 received by you or your spouse and of each gift of more than \$250 received by your dependent children. The term "gift" is defined as "any payment, entertainment, advance, services, or anything of value unless consideration of equal or greater value has been given therefor." There are a number of exceptions to the definition of "gift." Those exceptions are set forth in the Instructions for Statement of Financial Interest prepared for use with this form. (Note: The value of an item shall be considered to be less than \$100 if the public servant reimburses the person from whom the item was received any amount over \$100 and the reimbursement occurs within ten (10) days from the date the item was received.)

a) .	10416			
		(description of gift)		
	(date)	- All	(fair market value)	12.
•	© ************************************	(source of gift)		
b)				
ರಾದ ೨		(description of gift)	0.5 0.M/III	- All
÷	(date)		(fair market value)	
ē		(source of gift)	470.5	
۵)				<u> </u>
c) _		(description of gift)	989 BSS	
•	(date)	THE STATE OF THE S	(fair market value)	
-		(source of gift)		32(4.0)
d) .	,	(description of gift)		
_			A Section of the Control of the Cont	
	(date)		(fair market value)	
-		(source of gift)		
e) _		37 (1.54) Miles		
		(description of gift)		
	(date)		(fair market value)	
100		(source of gift)		

SECTION 10- AWARDS

If you are an employee of a public school district, the Arkansas School for the Blind, the Arkansas School for the Deaf, the Arkansas School for Mathematics, Sciences, and the Arts, a university, a college, a technical college, a technical institute, a comprehensive life-long learning center, or a community college, the law requires you to disclose each monetary or other award over one hundred dollars (\$100) which you have received in recognition of your contributions to education. The information disclosed with respect to each such award should include the source, date, description, and a reasonable estimate of the fair market value.

<u>:</u>		(description of award	
		(description of award)
	(date)		(fair market value)
		(source of award)	
		10-14-1999 -	
ii;	8	(description of award	
	(date)	4441	(fair market value)
	1.51.13.48	(source of award)	1-1-10
		(description of award	
	(date)	•/	(fair market value)
		(source of award)	5
	I III - 3 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	i	2 11 1 2 10 10 10 10 10 10 10 10 10 10 10 10 10
<u> </u>		(description of award)
	(date)		(fair market value)
		(source of award)	2
each nong	ear in your official capacity whe	DURCES OF PAYMENT	50.
each nong	overnmental source of payment ear in your official capacity whe	OURCES OF PAYMENT of your expenses for food, lodging in the expenses incurred exceed \$1:	s expense)
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each nong	overnmental source of payment ear in your official capacity when (date of expense)	OURCES OF PAYMENT of your expenses for food, lodging in the expenses incurred exceed \$1: name of person or organization paying (business address)	\$ (amount of expense)
t each nong	overnmental source of payment ear in your official capacity when (date of expense)	of your expenses for food, lodging in the expenses incurred exceed \$1: name of person or organization paying (business address) (nature of expenditure)	(amount of expense)
t each nong en you appe	overnmental source of payment ear in your official capacity when (date of expense)	of your expenses for food, lodging in the expenses incurred exceed \$1 name of person or organization paying (business address)	\$ (amount of expense)

SECTION 12- DIRECT REGULATION OF BUSINESS

List any business which employs you and is under direct regulation or subject to direct control by the governmental body which you serve. (name of business) (governmental body which regulates or controls) (name of business) (governmental body which regulates or controls) (name of business) (governmental body which regulates or controls) (name of business) (governmental body which regulates or controls) SECTION 13- SALES TO GOVERNMENTAL BODY List the goods or services sold to the governmental body for which you serve which have a total annual value in excess of \$1,000. List the compensation paid for each category of goods or services sold by you or any business in which you or your spouse is an officer, director, or stockholder owning more than 10% of the stock of the company. (goods or services) (governmental body to whom sold) (compensation paid) (goods or services) (governmental body to whom sold) (compensation paid) (goods or services)

The law provides for a maximum penalty of \$2,000 per violation and/or imprisonment for not more than one year for any person who knowingly or willfully fails to comply with the provisions of A.C.A.§ 21-8-401 through § 21-8-804. This report constitutes a public record. This form has been approved by the Arkansas Ethics Commission.

(governmental body to whom sold)

(compensation paid)

(goods or services)

(governmental body to whom sold)

(compensation paid)

SECTION 14- SIGNATURE

I certify under penalty of false swearing that the above information is true and correct.

Signature

STATE OF ARKANSAS

COUNTY OF FAURLE

Subscribed and swom before me this

day of

100

NOTARY PUBLIC-ARKANSAS (Legible PARPOSCINTY

OFFICIAL SEAL Susan D. McGehee

MY COMMISSION EXPIRES: 08-23-14

My commission expires:

Note: If faxed, notary seal must be legible (i.e., either stamped or raised and inked) and the original must follow within ten (10) days pursuant to Ark. Code Ann. § 21-8-703(b)(3).

IMPORTANT

Where to file:

State or district candidates/public servants file with the Secretary of State.

Appointees to state boards/commissions file with the Secretary of State.

County, township, and school district candidates/public servants file with the county clerk.

Municipal candidates/public servants file with the city clerk or recorder, as the case may be.

City attorneys file with the city-clerk of the municipality in which they serve.

District judges file with the county clerk.

Members of regional boards or commissions file with the county clerk of the county in which they reside.

General Information:

- * The Statement of Financial Interest should be filed by January 31 of each year.
- The filing covers the <u>previous</u> calendar year.
- Candidates for elective office shall file the Statement of Financial Interest for the previous calendar year on the first Monday following the close of the period to file as a candidate for elective office unless already filed by January 31.
- Agency heads, department directors, and division directors of state government shall file the Statement of Financial Interest within thirty (30) days of appointment or employment unless already filed by January 31.
- Appointees to state boards or commissions shall file the Statement of Financial Interest within thirty (30) days after appointment unless already filed by January 31.
- * If a person is included in any category listed above for any part of a calendar year, that person shall file a Statement of Financial Interest covering that period of time regardless of whether they have left their office or position as of the date the statement is due.