

STATEMENT OF FINANCIAL INTEREST

State/District officials file with:
Mark Martin, Secretary of State
State Capitol, Room 026
Little Rock, AR 72201
Phone (501) 682-5070
Fax (501) 682-3548

Calendar year covered 2017
(Note: Filing covers the previous calendar year)

For assistance in completing
this form contact:
Arkansas Ethics Commission
Phone (501) 324-9600
Toll Free (800) 422-7773

Is this an amendment? Yes No

Please provide complete information. If the information requested in a particular section does not apply to you, indicate such by noting "Not Applicable" in that section. Do not leave any part of this form blank. If additional space is needed, you may attach the information to this document. Do not file this form with the Arkansas Ethics Commission.

SECTION 1- NAME AND ADDRESS

Name KEOGH BECKY W
(Last) (First) (Middle)
Address 7 WOODSONG DRIVE ROLAND AR 72735
(Street or P.O. Box Number) (City) (State) (Zip Code)
Phone 501-425-4700
Spouse's name KEOGH PATRICK J
(Last) (First) (Middle)

All names under which you and/or your spouse do business: BECKY KEOGH, PATRICK KEOGH,
BECKY WKEOGH, PATRICK J KEOGH, BECKY WOPAK KEOGH

SECTION 2- REASON FOR FILING

- Public Official _____ (office held)
- Candidate _____ (office sought)
- District Judge _____ (name of district)
- City Attorney _____ (name of city)
- State Government: Agency Head / Department Director / Division Director ARKANSAS DEPT. OF ENVIRONMENTAL QUALITY
(name of agency/department/division)
- Chief of Staff or Chief Deputy _____ (name of Constitutional Officer, Senate, or House of Representatives)
- Public appointee to State Board or Commission _____ (name of board/commission)
- School Board member _____ (name of school district)
- Candidate for school board _____ (name of school district)
- Public or Charter School Superintendent _____ (name of school district/school)
- Executive Director of Education Service Cooperative _____ (name of cooperative)
- Advertising and Promotion Commission member _____ (name of advertising and promotion commission)
- Research Park Authority Board member under A.C.A. § 14-144-201 et seq. _____ (name of research park authority board)

FILED

JAN 30 2018

**Arkansas
Secretary of State**

SECTION 2- REASON FOR FILING (continued)

- Appointee to one of the following municipal, county or regional boards or commissions (list name of board or commission):
 - Planning board or commission _____
 - Airport board or commission _____
 - Water or Sewer board or commission _____
 - Utility board or commission _____
 - Civil Service commission _____

SECTION 3- SOURCE OF INCOME

List each employer and/or each other source of income from which you, your spouse, or any other person for the use or benefit of you or your spouse receives gross income amounting to more than \$1,000. (You are not required to disclose the individual items of income that constitute a portion of the gross income of the business or profession from which you or you spouse derives income. For example: accountants, attorneys, farmers, contractors, etc. do not have to list their individual clients.) If you receive gross income exceeding \$1,000 from at least one source, the answer N/A is not correct.

- a) Check appropriate box: More than \$1,000 More than \$12,500

ALCOA INC
(name of employer or source of income)
1401 BAUKITE CUTOFF ROAD, BAUKITE, AR 72011
(address)
PATRICK J KEOGH
(name under which income received)

Provide a brief description of the nature of the services for which the compensation was received
EMPLOYMENT - SITE MANAGER / CIVIL ENGINEER

- b) Check appropriate box: More than \$1,000 More than \$12,500

ARKANSAS DEPARTMENT OF ENVIRONMENTAL QUALITY
(name of employer or source of income)
530 NORTH SHORE DRIVE, NORTH LITTLE ROCK, AR 72118
(address)
BECKY W KEOGH
(name under which income received)

Provide a brief description of the nature of the services for which the compensation was received
EMPLOYMENT - DIRECTOR, ADEQ

- c) Check appropriate box: More than \$1,000 More than \$12,500

(name of employer or source of income)

(address)

(name under which income received)

Provide a brief description of the nature of the services for which the compensation was received

SECTION 4- BUSINESS OR HOLDINGS

List the name of every business in which you, your spouse or any other person for the use or benefit of you or your spouse have an investment or holding. Individual stock holdings should be disclosed. Figures should be based on fair market value at the end of the reporting period.

a) Check appropriate box: More than \$1,000 More than \$12,500

BANK OF AMERICA
PO BOX 25118, TAMPA FL 33622-5718 (name of corporation, firm or enterprise)
PATRICK J OR BECKY WOMACK KEOGH (address)
PATRICK J OR BECKY WOMACK KEOGH (name under which investment held)

b) Check appropriate box: More than \$1,000 More than \$12,500

BERIA BANK
SB00 E STREET LITTLE ROCK, AR 72207 (name of corporation, firm or enterprise)
PATRICK J OR BECKY W KEOGH (address)
PATRICK J OR BECKY W KEOGH (name under which investment held)

c) Check appropriate box: More than \$1,000 More than \$12,500

ARCO Community FCU
PO BOX 1269 Benton, AR 72018 (name of corporation, firm or enterprise)
PATRICK J OR BECKY W KEOGH (address)
PATRICK J OR BECKY W KEOGH (name under which investment held)

d) Check appropriate box: More than \$1,000 More than \$12,500

WFG Investments
721 KIMBLELL SUPERDOD DALLAS, TEXAS 75201 (name of corporation, firm or enterprise)
PATRICK KEOGL, BECKY KEOGL (address)
PATRICK KEOGL, BECKY KEOGL (name under which investment held)

e) Check appropriate box: More than \$1,000 More than \$12,500

VANGUARD MUTUAL FUND
455 DEERON PARK DRIVE WAGNER, PA 19087 (name of corporation, firm or enterprise)
BECKY W KEOGL (address)
BECKY W KEOGL (name under which investment held)

f) Check appropriate box: More than \$1,000 More than \$12,500

(name of corporation, firm or enterprise)
(address)
(name under which investment held)

SEE ATTACHED LIST FOR STOCK HOLDINGS IN 401K/Investment Holding

BECKY AND/OR PATRICK KEOGH (2017)

INVESTMENT HOLDINGS(COMMON STOCK)

Security Type	Security ID	Security Description	Closing Market Value
Common Stocks/ETFs	AINV	APOLLO INVNT CORP COM SH BEN INT	>1000
Common Stocks/ETFs	AWP	ALPINE GLOBAL PREMIER PPTYS FD	>1000
Common Stocks/ETFs	BIF	BOULDER GROWTH & INCOME FD INC COM	>12,500
Common Stocks/ETFs	BP	BP PLC SPONSORED ADR	>1000
Common Stocks/ETFs	EMD	WESTERN ASSET EMER MKTS DEBT FD INC COM USD0.001	>1000
Common Stocks/ETFs	EVG	EATON VANCE SH TM DR DIVR INCM COM	>1000
Common Stocks/ETFs	RDSB	ROYAL DUTCH SHELL PLC SPON ADR B	>1000
Common Stocks/ETFs	RVT	ROYCE VALUE TR INC COM	>1000
Common Stocks/ETFs	SPLV	POWERSHARES EXCH TRADED FD TST II S&P 500 LOW VOLATILITY POR	>1000
Common Stock	BRK-B	Berkshire Hathaway	>12,500
Common Stock	JEC	Jacobs Engineering	>12,500
Common Stock	BHP	BHP Billiton	>12,500
Common Stock	KO	Coca Cola	>12,500
Common Stock	Dis	Disney	>12,500
Common Stock	GE	General Electric	>12,500
Common Stock	RDS-A	Royal Dutch Shell	>12,500
Common Stock	AA	Alcoa Corp	>12,500
Common Stock	XOM	EXXON	>12,500

SECTION 5- OFFICE OR DIRECTORSHIP

List every office or directorship held by you or your spouse in any business, corporation, firm, or enterprise subject to jurisdiction of a regulatory agency of this State, or of any of its political subdivisions.

a) KEOGH ENVIRONMENT & POLICY CONSULTANTS - (INACTIVE) unregulated
 (name of business, corporation, firm, or enterprise)
3221 FOXCROFT ROAD, LITTLE ROCK, AR 72227
 (address)
DIRECTOR/PRESIDENT, SECRETARY, TREASURER
 (office or directorship held)
BILLY W. KEOGH, PATRICK J. KEOGH
 (name of office holder)

b) _____
 (name of business, corporation, firm, or enterprise)

 (address)

 (office or directorship held)

 (name of office holder)

SECTION 6- CREDITORS

List each creditor to whom the value of five thousand dollars (\$5,000) or more was personally owed or personally obligated and is still outstanding. (This does not include debts owed to members of your family or loans made in the ordinary course of business by either a financial institution or a person who regularly and customarily extends credit.)

a) NOT APPLICABLE
 (name of creditor)

 (address of creditor)
 b) _____
 (name of creditor)

 (address of creditor)
 c) _____
 (name of creditor)

 (address of creditor)

SECTION 7- PAST-DUE AMOUNTS OWED TO GOVERNMENT

List the name and address of each governmental body to which you are legally obligated to pay a past-due amount and a description of the nature of the amount of the obligation.

a) NOT APPLICABLE
 (name of governmental body) (address of governmental body)

 (amount owed) (nature of the obligation)
 b) _____
 (name of governmental body) (address of governmental body)

 (amount owed) (nature of the obligation)

SECTION 10- AWARDS

If you are an employee of a public school district, the Arkansas School for the Blind, the Arkansas School for the Deaf, the Arkansas School for Mathematics, Sciences, and the Arts, a university, a college, a technical college, a technical institute, a comprehensive life-long learning center, or a community college, the law requires you to disclose each monetary or other award over one hundred dollars (\$100) which you have received in recognition of your contributions to education. The information disclosed with respect to each such award should include the source, date, description, and a reasonable estimate of the fair market value.

a) NONE APPLICABLE

(description of award)

(date) (fair market value)

(source of award)

b) _____
(description of award)

(date) (fair market value)

(source of award)

c) _____
(description of award)

(date) (fair market value)

(source of award)

d) _____
(description of award)

(date) (fair market value)

(source of award)

SECTION 11- NONGOVERNMENTAL SOURCES OF PAYMENT

List each nongovernmental source of payment of your expenses for food, lodging, or travel which bears a relationship to your office when you appear in your official capacity when the expenses incurred exceed \$150.

a) SEE ATTACHED LIST

(name of person or organization paying expense)

(business address) \$ _____
(date of expense) (amount of expense)

(nature of expenditure)

b) _____
(name of person or organization paying expense)

(business address) \$ _____
(date of expense) (amount of expense)

(nature of expenditure)

SECTION 8- GUARANTOR OR CO-MAKER

List each guarantor or co-maker who has guaranteed a debt of yours that is still outstanding. (This includes debt guarantors arising or extended and refinanced after Jan. 1, 1989. Members of your family who are your guarantors are not required to be disclosed.)

a) NOT APPLICABLE

(name)

(address)

b) _____
(name)

(address)

SECTION 9- GIFTS

List the source, date, description, and a reasonable estimate of the fair market value of each gift of more than \$100 received by you or your spouse and of each gift of more than \$250 received by your dependent children. The term "gift" is defined as "any payment, entertainment, advance, services, or anything of value unless consideration of equal or greater value has been given therefor." There are a number of exceptions to the definition of "gift." Those exceptions are set forth in the Instructions for Statement of Financial Interest prepared for use with this form. (Note: The value of an item shall be considered to be less than \$100 if the public servant reimburses the person from whom the item was received any amount over \$100 and the reimbursement occurs within ten (10) days from the date the item was received.)

a) NONE

(description of gift)

(date) (fair market value)

(source of gift)

b) _____
(description of gift)

(date) (fair market value)

(source of gift)

c) _____
(description of gift)

(date) (fair market value)

(source of gift)

d) _____
(description of gift)

(date) (fair market value)

(source of gift)

e) _____
(description of gift)

(date) (fair market value)

(source of gift)

SECTION 12- DIRECT REGULATION OF BUSINESS

List any business which employs you and is under direct regulation or subject to direct control by the governmental body which you serve.

a) ALCOA, INC (PATRICK J KEOGH)
(name of business)

ADEQ, DPA, ANRC
(governmental body which regulates or controls)

b) _____
(name of business)

(governmental body which regulates or controls)

c) _____
(name of business)

(governmental body which regulates or controls)

d) _____
(name of business)

(governmental body which regulates or controls)

SECTION 13- SALES TO GOVERNMENTAL BODY

List the goods or services sold to the governmental body for which you serve which have a total annual value in excess of \$1,000. List the compensation paid for each category of goods or services sold by you or any business in which you or your spouse is an officer, director, or stockholder owning more than 10% of the stock of the company.

a) NOT APPLICABLE
(goods or services)

(governmental body to whom sold)

(compensation paid)

b) _____
(goods or services)

(governmental body to whom sold)

(compensation paid)

c) _____
(goods or services)

(governmental body to whom sold)

(compensation paid)

d) _____
(goods or services)

(governmental body to whom sold)

(compensation paid)

SECTION 14- SIGNATURE

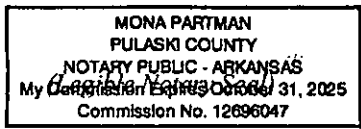
I certify under penalty of false swearing that the above information is true and correct.

Billy W. King
Signature

STATE OF ARKANSAS

COUNTY OF Pulaski } ss

Subscribed and sworn before me this 29th day of January, 2018.



Mona Partman
Notary Public

My commission expires: 10-31-2025

Note: If faxed, notary seal must be legible (i.e., either stamped or raised and inked) and the original must follow within ten (10) days pursuant to Ark. Code Ann. § 21-8-703(b)(3).

IMPORTANT

Where to file:

- State or district candidates/public servants file with the Secretary of State.
- Appointees to state boards/commissions file with the Secretary of State.
- County, township, and school district candidates/public servants file with the county clerk.
- Municipal candidates/public servants file with the city clerk or recorder, as the case may be.
- City attorneys file with the city clerk of the municipality in which they serve.
- District judges file with the Secretary of State.
- Members of regional boards or commissions file with the county clerk of the county in which they reside.

General Information:

- * The Statement of Financial Interest should be filed by January 31 of each year.
- * The filing covers the previous calendar year.
- * Candidates for elective office shall file the Statement of Financial Interest for the previous calendar year on the first Monday following the close of the period to file as a candidate for elective office unless already filed by January 31. In addition, if the party filing period ends before January 1 of the year of the general election, candidates for elective office shall file a Statement of Financial Interest for the previous calendar year by no later than January 31 of the year of the general election.
- * Agency heads, department directors, and division directors of state government shall file the Statement of Financial Interest within thirty (30) days of appointment or employment unless already filed by January 31.
- * Appointees to state boards or commissions shall file the Statement of Financial Interest within thirty (30) days after appointment unless already filed by January 31.
- * If a person is included in any category listed above for any part of a calendar year, that person shall file a Statement of Financial Interest covering that period of time regardless of whether they have left their office or position as of the date the statement is due.

Reimbursements/Payments for 2017 Statement of Financial Interest

Date	Organization	Hotel	Parking/Taxi	Meals	Air Fare	Event Total
April 04 - 08, 2017	ECOS Spring Meeting	593.12		60.00	624.58	1,277.70
September 12 - 13, 2017	EELC Fall Meeting	365.94			364.61	730.55
December 20-22, 2017	ECOS Executive Director Interviews		35.97	172.50	856.56	1,065.03
		\$959.06	\$35.97	\$232.50	\$1,845.75	\$3,073.28



Pat Fitts
Director

Caroline Cone
Chief of Staff and
Deputy Director

Arkansas Game and Fish Commission

Chris Colclasure
Deputy Director

Chris Racey
Deputy Director

James F. Goodhart

General Counsel

John P. Marks

Sr. Assistant General Counsel

Phone: 501-223-6327

Christian N. Parks

Assistant General Counsel

Andrew Whitley Cox

Assistant General Counsel

Fax: 501-223-6463

August 7, 2018

Kevin J. Vaughan
Counsel, Environmental & Safety
ExxonMobil Corporation
22777 Springwoods Village Pkwy., E2.3B.508
Spring, TX 77389

FOR CONFIDENTIAL SETTLEMENT PURPOSES ONLY
Non-admissible under Fed. R. Evid. 408 and Ark. R. Evid. 408

RE:Mayflower, AR Oil Spill – Natural Resources Damages and Restoration

Dear Mr. Vaughan:

To resolve ExxonMobil Corporation's liability for natural resource damages under the Federal Oil Pollution Act (OPA) of 1990, 33 USCA § 2701 et seq. and Arkansas law (including Amendment 35 to the Constitution of Arkansas, the Arkansas Water and Air Pollution Control Act, as amended, Ark. Code Ann. § 8-4-101 et seq., and the Arkansas Hazardous Waste Management Act, as amended, Ark. Code Ann. § 8-7-201 et seq.) arising from the Mayflower Oil Spill Incident that began March 29, 2013, the State of Arkansas, acting through its Co-Trustees for natural resources, namely the Arkansas State Game and Fish Commission (AGFC) and the Arkansas Department of Environmental Quality (ADEQ), respectively, and the United States Department of the Interior (DOI), acting through its representative the United States Fish and Wildlife Service (USFWS) (collectively referred to as "the Trustees") propose that ExxonMobil agree to perform the following:

1. Make payment in the sum of \$700,000 to compensate for recreational fishing loss at Lake Conway that occurred in the months immediately following the oil spill. This damages estimate reflects information obtained from a Central Arkansas Anglers Survey conducted in September 2013 in response to this incident, with over 400 completed responses. The Trustees propose to use these damages to fund to-be-determined restoration projects in the vicinity of Lake Conway to benefit recreational anglers.

2 Natural Resources Drive • Little Rock, AR 72205 • www.agfc.com
Phone (800) 364-4263 • (501) 223-6300 • Fax (501) 223-6448

The Arkansas Game and Fish Commission's mission is to conserve and enhance Arkansas's fish and wildlife and their habitats while promoting sustainable use, public understanding and support.

2. Provide necessary funding to secure public ownership via the Arkansas State Game and Fish Commission of certain parcels in the immediate vicinity of Lake Conway totaling approximately 80 acres. The Trustees anticipate that these properties will generate ecological benefits sufficient to offset terrestrial habitat injuries and wildlife mortality that were caused by the spill and response activities (except for migratory birds, which are addressed separately below). Also, the Trustees believe that continuity of state ownership of the various parcels adjoining Dawson Cove where spill injury and response activities occurred is important long term. Although substantial portions of these parcels are unlikely to be developable, their acquisition will facilitate future monitoring and management with adjoining Dawson Cove acreage already owned and managed by AGFC. As discussions proceed, the Trustees will provide additional information concerning specific recommended parcels.
3. Make payment in the sum of \$400,000 to compensate for migratory waterfowl mortality caused by the spill and response activities. The Trustees propose to apply these funds for restoration of approximately four acres of agricultural land to moist soil units — a restoration approach commonly used in Arkansas and anticipated by the Trustees to provide sufficient over-wintering habitat of a type likely to be utilized by migratory waterfowl.
4. Make payment in the sum of \$50,000 to fund anticipated future costs of Trustees' contractor to develop a draft and final Restoration Plan for this incident consistent with this proposed settlement, which will be subject to public review and comment.
5. Make payment in the sum of \$25,000 to fund anticipated future costs to finalize the settlement process, provide oversight of restoration project implementation, conduct restoration project monitoring, manage acquired properties, and similar activities.
6. Make payment to the Arkansas State Game and Fish Commission in the sum of \$627,977.71 to reimburse past Trustee response and assessment costs incurred by the Arkansas State Game and Fish Commission from March 29, 2013 through June 30, 2018 (\$402,977.71, subject to being updated through the date of final settlement) and not yet reimbursed by ExxonMobil, plus AGFC employee wage and salary expenses (approx. \$225,000). The specific expense reimbursement items have been detailed in letters dated August 7, 2018 and March 31, 2017 that have been forwarded separately to you.

The payments identified above for natural resources damages and restoration costs sum to a total of \$1,175,000, plus the additional funds to purchase the approximate-80 acres referenced in Item 2 above. Additionally, the expenses to be reimbursed to the Arkansas State Game and Fish Commission total \$627,977.71.

Kevin J. Vaughan
Counsel, Environmental & Safety
ExxonMobil Corporation
August 7, 2018
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Thank you for your cooperation in this matter. Should you have any questions, please do not hesitate to contact me at (501) 223-6327 or james.goodhart@agfc.ar.gov.

Sincerely,



James F. Goodhart
General Counsel

JFG/

cc: Pat Fitts, AGFC
Becky Keogh, ADEQ
Michael McAlister, ADEQ
Dara Hall, Ark. Attorney General's Office
Brigette Beaton, USDOJ
Melvin Tobin, USFWS
Thomas Inebnit, USFWS